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NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)		P.O. 6104	REINHOLD COHN AND PARTNERS P.O. Box 4060 61040 Tel Aviv ISRAËL		
Date of mailing (day/month/year) 10 November 2000 (10.11.0)	0)				
Applicant's or agent's file reference 123335.2 MM		·	IMPORT	ANT NOTIF	FICATION
International application No. PCT/IL00/00185		1	nal filing date ( Narch 2000 (		ar)
The following indications appeared     X the applicant     X  Name and Address	on record concerning: the inventor	the ager	State of Natio	J	n representative State of Residence
SHINITZKY, Meir 20 Derech Haganim Street 46910 Rehovot Israel			IL IL Telephone No.		IL
		,	Facsimile No Teleprinter N	·	
					'
The International Bureau hereby no the person the name of the	- G7		change has be the nation		oncerning: the residence
Name and Address SHINITZKY, Meir			State of Natio	onality	State of Residence IL
20 Derech Haganim Street 46910 Kfar Shmaryahu Israel			Telephone N	0.	
			Facsimile No	).	
·			Teleprinter No.		
3. Further observations, if necessary:					
4. A copy of this notification has been	sent to:				
X the receiving Office			<u></u>	nated Offices	
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nal Application No Interr. PCT/IL 00/00185

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K31/665 A61P43/00 C07F9/6574

A61P35/00

A61P35/02

A61P3/10

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

 $\begin{array}{lll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC} & 7 & \mbox{A61K} & \mbox{C07F} \\ \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data, MEDLINE, BIOSIS, EMBASE, SCISEARCH

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Ρ,Χ	KINOR N ET AL: "Cyclic glycerophosphates for the treatment of Parkinson's disease" NEUROSCI. LETT., vol. 54, no. supp, November 1999 (1999-11), page S24 XP002155523 abstract	1-35
Ρ,Χ	WO 00 09139 A (ALLELIX BIOPHARMA; BEGLEITER LEATH E (CA); WICKENS PHILIP L (CA);) 24 February 2000 (2000-02-24) abstract page 11, line 11 -page 12, line 10 page 13, line 26 -page 14, line 22; claims; example 1	1-35

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	"T" tater document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "8" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
14 December 2000	28/12/2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ní, Fax: (+31-70) 340-3016	Orviz Diaz, P

		PC1/1L 00/00185
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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		PC1/1E 00/00185
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		PC1/1L 00/00185
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US 5565439	Α	15-10-1996	NONE	

## **PCT**





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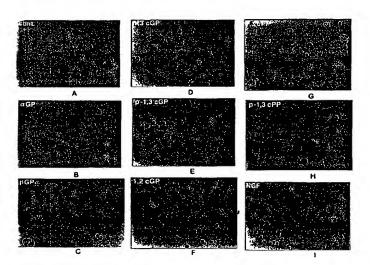
(74) Agent: REINHOLD COHN AND PARTNERS; P.O. Box 4060, 61040 Tel Aviv (IL).

(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### **Published**

Without international search report and to be republished upon receipt of that report.

(54) Title: PHARMACEUTICAL COMPOSITIONS COMPRISING CYCLIC GLYCEROPHOSPHATES AND ANALOGS THEREOF FOR PROMOTING NEURAL CELL DIFFERENTIATION



(57) Abstract

Cyclic glycerophosphates and analogs thereof (CGs) are shown to exert neural promoting activities in target cells. Such activities include promotion of neuronal outgrowth, promotion of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue. These activities of the CGs render them useful for treatment of various disorders including but not limited to mental disorders such as, for example, schizophrenia, dementia or disorders resulting in learning disablities. In addition, these CGs may be used for the treatment of neurodegenerative conditions such as Altzheimer's diesease, Parkinson's disease, conditions resulting from exposure to harmful environmental factors or resulting from a mechanical injury. The CGs may also be used to treat an individual suffering from a primary neurodengenerative condition in order to prevent or reduce the appearance of secondary degeneration in additional nerves ("nerve rescue").

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### **CLAIMS:**

 A pharmaceutical composition for promoting neural cell differentiation in target cells comprising a pharmaceutically acceptable carrier and, as an active
 ingredient, a compound of the general formula I

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wherein

Y is -(CH<sub>2</sub>)<sub>m</sub>-, -CH(OH)- or -C(=O)-, and m is 0 - 3; X is H, alkyl, -CH<sub>2</sub>OH-, CH<sub>2</sub>Oacyl or -CH<sub>2</sub>acyl; and R is H, a cation, alkyl or optionally substituted aryl.

- 2. A pharmaceutical composition for promoting neural activity comprising a pharmaceutical acceptable carrier and, as an active ingredient, a compound of the general Formula I of Claim 1.
- 3. A pharmaceutical composition according to Claim 2, wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promotion of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue.
- 4. A pharmaceutical composition comprising a pharmaceutical acceptable carrier and, as an active ingredient, a compound of the general Formula I of Claim 1, for the prevention or treatment of disorders and diseases which can be prevented or treated by promoting neural cell differentiation and/or neural activity.

- 5. A pharmaceutical composition according to Claim 4, wherein said disorders and diseases are mental disorders.
- 6. A pharmaceutical composition according to Claim 5, wherein said mental disorder is schizophrenia or dementia.
- 7. A pharmaceutical composition according to Claim 5, wherein said mental disorder is a learning disability.
  - 8. A pharmaceutical composition according to Claim 4, for the treatment of neurodegenerative conditions involving damage to the dopaminergic neural cells.
- 9. A pharmaceutical composition according to Claim 8, wherein said neurodegenerative condition is Alzheimer's disease.
  - 10. A pharmaceutical composition according to Claim 8, wherein said neurodegenerative condition is Parkinson's disease.
  - 11. A pharmaceutical composition according to Claim 4, wherein said disorders and diseases result from exposure to harmful environmental factors or from a mechanical injury.
  - 12. A pharmaceutical composition according to Claim 4, for the treatment of nerve rescue after nerve injury.
- 13. A pharmaceutical composition according to any one of Claims 1-12, wherein the active ingredient is a compound of Formula I selected from the group consisting of:
  - i. 1,3 cyclic glycerophosphate 1,3 cGP;
  - ii. 1,2 cyclic glycerophosphate 1,2 cGP;
  - iii. 3-acyl 1,2 cyclic glycerophosphate (cyclic lysophosphatidic acid) c-lysoPA;
- 25 iv. Phenyl 1,3 cGP **P-1,3 cGP**;
  - v. Phenyl 1,2 cGP **P-1,2 cGP**;
  - vi. 1,3 cyclic propanediol phosphate -1,3 cPP;
  - vii. 1.2 cyclic propanediol phosphate -1,2 cPP;
  - viii. Phenyl 1,3 cPP P-1,3 cPP;
- 30 ix. Phenyl 1,2, cyclic propanediol phosphate P-1,2, cPP;

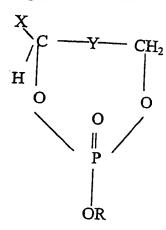
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-31-

- x. Cyclic dihydroxyacetone phosphate **cDHAP**; and
- xi. Phenyl cyclic dihydroxyacetone phosphate P-cDHAP.
- 14. A method for inducing promotion of neural cell differentiation of target cells comprising contacting said target cells for a suitable period of time with an effective amount of a compound of the general Formula I of Claim 1.
- 15. A method for promoting neural activity in an individual comprising administering to the individual in need an effective amount of a compound of the general Formula I of Claim 1.
- 16. A method according to Claim 15, wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promotion of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue.
- 17. A method for the prevention or treatment of disorders and diseases which can be prevented or treated by promoting neural cell differentiation and/or neural activity comprising administering to a person in need a therapeutically effective amount of a compound of Formula I of Claim 1.
- 18. A method according to Claim 17, wherein said disorders and diseases are mental disorders or diseases.
- 19. A method according to Claim 18, wherein said mental disorder or disease is schizophrenia or dementia.
- 20. A method according to Claim 18, wherein said mental disorder is a learning disability.
- 21. A method according to Claim 17, wherein said disorders and diseases are neurodegenerative disorders or diseases.
- 25 **22.** A method according to Claim 21, wherein said neurodegenerative disorder or disease is Alzheimer's disease or Parkinson's disease.
  - 23. A method according to Claim 17, wherein said disorders or diseases result from exposure of an individual to harmful environmental factors or from a mechanical injury.

- 24. A method according to Claim 15, for the treatment of nerve rescue after nerve injury.
- 25. Use of a compound of the general Formula I

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wherein

Y is  $-(CH_2)_{m^-}$ , -CH(OH)- or -C(=O)-, and m is 0 - 3;

X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

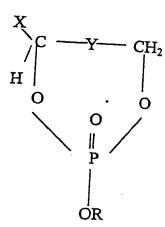
R is H, a cation, alkyl or optionally substituted aryl

for the preparation of a pharmaceutical composition for promoting neural cell differentiation.

26. Use of a compound of the general Formula I

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15



25

wherein

Y is  $-(CH_2)_m$ -, -CH(OH)- or -C(=O)-, and m is 0 - 3;

X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

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-33 -

R is H, a cation, alkyl or optionally substituted aryl for the preparation of a pharmaceutical composition for promoting neural activity.

- 27. Use according to Claim 26, wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promoting of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue.
- 28. Use according to Claim 25, for the prevention or treatment of disorders and diseases which can be prevented or treated by promoting neural cell differentiation and/or neural activity.
- 29. Use according to Claim 28, wherein said disorders and diseases are mental disorders or diseases.
  - **30.** Use according to Claim 29, wherein said mental disorder or disease is schizophrenia or dementia.
  - 31. Use according to Claim 29, wherein said mental disorder is a learning disability.
    - 32. Use according to Claim 28, wherein said disorders and diseases are neurodegenerative disorders or diseases.
    - 33. Use according to Claim 32, wherein said neurodegenerative disorders or diseases are Alzheimer's disease or parkinson's disease.
- 20 34. Use according to Claim 28, wherein said disorders or diseases result from exposure of an individual to harmful environmental factors or from a mechanical injury.
  - 35. Use according to Claim 27, for nerve rescue after nerve injury.

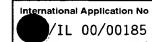


# PATENT COOPERATION TREATY PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.				
123335.2 MM	ACTION				
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)			
PCT/IL 00/00185	24/03/2000 25/03/1999				
Applicant					
YEDA RESEARCH ADN DEVELOP	MENT CO. LTD. et al.				
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth ansmitted to the International Bureau.	nority and is transmitted to the applicant			
This International Search Report consists  It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this	report.			
Basis of the report					
	international search was carried out on the bases otherwise indicated under this item.	sis of the international application in the			
the international search w Authority (Rule 23.1(b)).	ras carried out on the basis of a translation of the	he international application furnished to this			
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	osequently furnished written sequence listing d is filed has been furnished.	loes not go beyond the disclosure in the			
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2. Certain claims were fou	nd unsearchable (See Box I).				
3. Unity of invention is lac	king (see Box II).				
4. With regard to the <b>title</b> ,					
X the text is approved as su	bmitted by the applicant.				
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the text is approved as submitted by the applicant.  the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.					
6. The figure of the <b>drawings</b> to be published.	-				
as suggested by the appli		None of the figures.			
because the applicant fail		<u> </u>			
because this figure better	because this figure better characterizes the invention.				



A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K31/665 A61P43/00 C07F9/6574

C. DOCUMENTS CONSIDERED TO BE RELEVANT

A61P35/00

A61P35/02

A61P3/10

Relevant to claim No.

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K C07F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

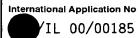
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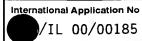
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X Furti	ner documents are listed in the continuation of box C.	χ Patent family members are listed in annex.	
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*P* docume	ent published prior to the international filing date but the priority date claimed	in the art.  *&* document member of the same patent family	
Date of the	actual completion of the international search	Date of mailing of the international search report	
1	4 December 2000	28/12/2000	
Name and r	nailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer Orviz Diaz, P	
Form PCT/ISA/2	210 (second sheet) (July 1992)		



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C (Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °		Relevant to claim No.
·····		
X	DATABASE CHEMABS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; KOBAYASHI, SUSUMU ET AL: "Preparation of 1-0-acylglycerol-2,3-phosphates and DNA polymerase.alpha. inhibitors containing them" retrieved from STN Database accession no. 124:76506 XP002148571 abstract & JP 07 258278 A (SAGAMI CHEM RES, JAPAN) 9 October 1995 (1995-10-09)	1-13
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X	SHINITZKY M ET AL: "Formation of 1,3-cyclic glycerophosphate by the action of phospholipase C on phosphatidylglycerol."  JOURNAL OF BIOLOGICAL CHEMISTRY, (1993 JUL 5) 268 (19) 14109-15.,  XP000946147 figures 6,10	1-13
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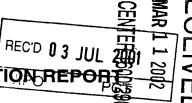
International Application No
IL 00/00185

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JP 9025235	Α	28-01-1997	NONE	
JP 7258278	Α	09-10-1995	NONE	
JP 6228169	Α	16-08-1994	NONE	
JP 7149772	Α	13-06-1995	NONE	
US 5565439	Α	15-10-1996	NONE	

PATENT COOPERATION TREATY

1615

# **PCT**



# INTERNATIONAL PRELIMINARY EXAMINATION REPOR

(PCT Article 36 and Rule 70)

123335.2 MM		FOR FURTHER A	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/4:		ation of Transmittal of International Examination Report (Form PCT/IPEA/416)		
International application No.			lication No.	International filing date (day/month/year)		rear)	Priority date (day/month/year)
PCT/IL00/00185		24/03/2000			25/03/1999		
	ationa K31/0		ent Classification (IPC) or nat	ional classification and IP	C .		``
Applicant							
YEDA RESEARCH ADN DEVELOPMENT CO. LTD. et al.							
	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>						
2. T	This R	EPC	ORT consists of a total of	7 sheets, including this	s cover she	et.	
Ø	This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
Т	These annexes consist of a total of 8 sheets.						
3. Т	3. This report contains indications relating to the following items:						
	I	$\boxtimes$	Basis of the report				
	11		Priority				
	Ш	M	Non-establishment of op		velty, inve	ntive step a	nd industrial applicability
	IV		Lack of unity of inventior				
	V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement						
	VI	☑ Certain documents cited					
	VII						
`	VIII 🗵 Certain observations on the international application						
Date o	Date of submission of the demand			Date of co	mpletion of th	nis report	

Date of submission of the demand

23/10/2000

29.06.2001

Name and mailing address of the international preliminary examining authority:

European Patent Office
D-80298 Munich
Tel. +49 89 2399 - 0 Tx: 523656 epmu d
Fax: +49 89 2399 - 4465

Date of completion of this report

Authorized officer

Giacobbe, S

Telephone No. +49 89 2399 8463

# INTERNATIONAL PRELIMINARY

E	XA	MINATION REP	ORT	International application No. PQ /IL00/0018						
		isis of the report	nents of the internation	al application (Replacement sheets which have been furnished to	•					
	the an	e receiving Office in	n under Article 14 are referred to in this report a prignally lifed" lo not contain amendments (Rules 70.16 and 70.77))	•						
	1-2	28	as originally filed							
	Cla	aims, No.:								
	1-3	36	as received on	19/04/2001 with letter of 17/04/2001						
	Dra	Drawings, sheets:								
	1/8	3-8/8	as originally filed							
2.		With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.								
	The	ese elements were a	this Authority in the following language: , which is:							
		the language of a t	translation furnished for	the purposes of the international search (under Rule 23.1(b)).						
		the language of pu	blication of the internati	onal application (under Rule 48.3(b)).						
		the language of a t 55.2 and/or 55.3).	translation furnished for	the purposes of international preliminary examination (under Rul	е					
3. \ i	Wit inte	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:								
		□ contained in the international application in written form.								
	filed together with the international application in computer readable form.									
	furnished subsequently to this Authority in written form.									
	☐ furnished subsequently to this Authority in computer readable form.									
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure the international application as filed has been furnished.									
		The statement that listing has been fur		d in computer readable form is identical to the written sequence						
4.	The	amendments have	resulted in the cancella	ion of:						
		the description,	pages:							
		the claims,	Nos.:							

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/IL00/00185

		the drawings,	sheets:			
5.		This report has been considered to go bey	established as if (some of) the amendments had not been made, since they have been ond the disclosure as filed (Rule 70.2(c)):			
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this			
6.	Add	litional observations, i	necessary:			
111.	. Nor	n-establishment of or	ninion with regard to novelty, inventive step and industrial applicability			
			e claimed invention appears to be novel, to involve an inventive step (to be non-			
			ally applicable have not been examined in respect of:			
		the entire internationa	application.			
	×	claims Nos. 1-8, 17-2	6.			
be	caus	e:				
	×	the said international which does not requir see separate sheet	application, or the said claims Nos. 1-8, 17-26 relate to the following subject matter e an international preliminary examination ( <i>specify</i> ):			
			s or drawings (indicate particular elements below) or said claims Nos. are so unclear inion could be formed (specify):			
		the claims, or said cla	ims Nos. are so inadequately supported by the description that no meaningful opinion			
		no international searc	n report has been established for the said claims Nos			
2.	and/	meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide nd/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative astructions:				
		the written form has n	ot been furnished or does not comply with the standard.			
		the computer readable	e form has not been furnished or does not comply with the standard.			
	citat	ions and explanation	er Article 35(2) with regard to novelty, inventive step or industrial applicability; as supporting such statement			
1.	State	ement				
	Nove	eity (N)	Yes: Claims 1-36			

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/IL00/00185

No:

Claims

Inventive step (IS)

Yes: No: Claims 1-36 Claims

Industrial applicability (IA)

Yes:

Claims 9-16, 27-36

No: Claims

Claims 1-8, 17-26 (cf. Separate Sheet)

2. Citations and explanations see separate sheet

#### VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

# 1. Section I

The amended claims fulfill the requirements of Art 34(2)(b) PCT in that they do not introduce subject-matter which was not present in the application as originally filed.

#### 2. Section III

Claims 1-8 and 17-26 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT). However, although not required under the provisions of the PCT, an opinion will be given with respect to novelty and inventive step.

#### 3. Section V

#### 3.1 Cited Documents

The following documents (D) are referred to in this Report:

- D1: DATABASE CHEMABS [Online] CHEMICAL ABSTRACTS SERVICE. COLUMBUS, OHIO, US; KOBAYASHI, SUSUMU ET AL: 'Promoters of protein phosphokinase C activation containing 1-O-acylglycerol 2,3-cyclic phosphate' retrieved from STN Database accession no. 123:350234 & JP 07 149772 A (SAGAMI CHEM RES, JAPAN) 13 June 1995
- D2: DATABASE CHEMABS [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; KOBAYASHI, SUSUMU ET AL: 'Tumor metastasis inhibitors containing 1-O-acylglycerol-2,3-phosphates' retrieved from STN Database accession no. 126:220705 & JP 09 025235 A (SAGAMI CHEM RES, JAPAN) 28 January 1997
- D3: DATABASE CHEMABS [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; KOBAYASHI, SUSUMU ET AL: 'Preparation of 1-O-acylglycerol-2,3-phosphates and DNA polymerase.alpha. inhibitors containing them' retrieved from STN Database accession no. 124:76506 & JP 07 258278 A (SAGAMI CHEM RES, JAPAN) 9 October 1995

D4: US-A-5 565 439 (PIAZZA GARY A ET AL) 15 October 1996

Document D1 (cf. the whole document) discloses the use of the compounds of formula I for the treatment of dementia, a mental, neurodegenerative

disorder. These molecules have been disclaimed in present independent claims 1 and 10, whereas dementia has been disclaimed in present independent claims 17 and 27.

Document D2 (cf. the whole document) discloses the use of the compounds of formula I for the inhibition of metastasis.

Document D3 (cf. the whole document) discloses the use of the compounds of formula I as antitumour agents.

Document D4 (cf. Abstract and Example II) discloses the use of compounds falling... within the scope of the present general formula for treating hyperproliferative conditions.

### 3.2 Art 33(2) PCT (Novelty)

The subject-matter of present claims 1-36 meets the requirements of Art 33(2) PCT.

Due to the above-mentioned disclaimers none of the available prior art documents anticipates the presently claimed subject-matter.

### 3.3 Art 33(3) PCT (Inventive step)

The subject-matter of present claims 1-36 meets the requirements of Art 33(3) PCT.

The subject-matter of claims 17-36 (i.e. the use of all the molecules of the general formula for the treatment of all diseases with the exception of dementia), as well as that of claims 1-16 (i.e. the use of the molecules of the general formula remaining after those of D1 are subtracted) for the treatment of mental and neurodegenerative disorders including dementia, are considered as inventive because they solve the technical problem of treating the mentioned diseases in a way which could not be deduced from D1 itself (cf. in this context Examples 12 to 14 and Figure II). It is in particular considered that D1 does not provide any indication that a) compounds other than those described are active in the treatment of dementia or b) neural diseases other than dementia are curable by use of the described compounds.

### 3.4 Art 33(4) PCT (Industrial applicability)

As stated above, no opinion is given on the question of whether present claims 1-8 and 17-26 are industrially applicable since their patentability is inter alia dependent upon their formulation as well as upon national and regional laws and no unifying criteria is

**EXAMINATION REPORT - SEPARATE SHEET** 

provided in this field by the PCT.

### 4. Section VI

Certain published documents (Rule 70.10)

Application No	Publication date	Filing date	Priority date (valid claim)	
Patent No	(day/month/year)	(day/month/year)	(day/month/year)	
WO 00/09139	24.2.00	10.8.99	10.8.99	
WO 00/57864	5.10.00	24.3.00	25.3.99	

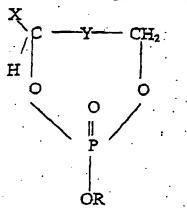
### 5. Section VIII

Claims 1, 2, 4, 9, 10, 12, 17, 18, 27 and 28 are not clear because the expressions used for the definition of the diseases are functional.

n.b. If the claims are directed to a condition susceptible of being improved or prevented by selective interaction with a biological pathway, the claims can be regarded as clear only if instruction, in the form of experimental tests or any testable criteria, allowing the skilled person to recognise which conditions fall within the functional definition (and accordingly within the scope of the claims concerned) are available from the patent documents or from the general common knowledge. The selective interaction with a biological pathway itself cannot be considered as a therapeutic application.

### CLAIMS:

1. A method for inducing promotion of neural cell differentiation of target cells comprising contacting said target cells for a suitable period of time with an effective amount of a compound of the general Formula I



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wherein

Y is  $-(CH_2)_m$ -, -CH(OH)- or -C(=O)-, and m is 0 - 3;

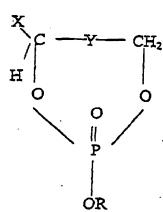
X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

R is H, a cation, alkyl or optionally substituted aryl; provided that when Y is  $-(CH_2)_m$ , m=0, and R is H or cation, X is not  $CH_2Oacyl$ .

- 2. A method for promoting neural activity in an individual comprising administering to the individual in need an effective amount of a compound of the general Formula I of Claim 1.
- 3. A method according to Claim 2, wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promotion of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue.
- 4. A method for the prevention or treatment of disorders and diseases which can be prevented or treated by promoting neural cell differentiation and/or neural activity comprising administering to a person in need a therapeutically effective amount of a compound of Formula I of Claim 1.

- 5. A method according to Claim 4, wherein said disorders and diseases are mental disorders or diseases.
- 6. A method according to Claim 5, wherein said mental disorder or disease is schizophrenia or dementia.
- 5 7. A method according to Claim 4, wherein said disorders and diseases are neurodegenerative disorders or diseases.
  - 8. A method according to any one of claims 1 to 7 wherein the compound of Formula I is selected from the group consisting of:
  - i. 1,3 cyclic glycerophosphate 1,3 cGP;
- 10 ii. 1,2 cyclic glycerophosphate 1,2 cGP;
  - iii. Phenyl 1,3 cGP P-1,3 cGP;
  - iv. Phenyl 1,2 cGP P-1,2 cGP;
  - v. 1,3 cyclic propanediol phosphate 1,3 cPP;
  - vi. 1,2 cyclic propanediol phosphate 1,2 cPP;
- 15 vii. Phenyl 1,3 cPP P-1,3 cPP;
  - viii. Phenyl 1,2, cyclic propanediol phosphate P-1,2, cPP;
  - ix. Cyclic dihydroxyacetone phosphate cDHAP; and
    - x. Phenyl cyclic dihydroxyacetone phosphate P-cDHAP.
    - 9. Use of a compound of the general Formula I

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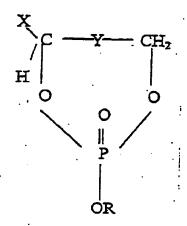
wherein

Y is  $-(CH_2)_m$ -, -CH(OH)- or -C(=O)-, and m is 0 - 3;

X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

R is H, a cation, alkyl or optionally substituted aryl; provided that when Y is  $-(CH_2)_m$ , m=0, and R is H or cation, X is not  $CH_2Oacyl$  for the preparation of a pharmaceutical composition for promoting neural cell differentiation.

10. Use of a compound of the general Formula I



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15 wherein

Y is  $-(CH_2)_{m^-}$ , -CH(OH)- or -C(=O)-, and m is 0 - 3;

X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

R is H, a cation, alkyl or optionally substituted aryl; provided that when Y is -(CH<sub>2</sub>)<sub>m</sub>-, m=0, and R is H or cation, X is not CH<sub>2</sub>Oacyl for the preparation of a pharmaceutical composition for promoting neural activity.

- 11. Use according to Claim 10, wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promoting of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration and nerve rescue.
- 25 12. Use according to Claim 9, for the prevention or treatment of disorders and diseases which can be prevented or treated by promoting neural cell differentiation and/or neural activity.
  - 13. Use according to Claim 12, wherein said disorders and diseases are mental disorders or diseases.

- 14. Use according to Claim 13, wherein said mental disorder or disease is schizophrenia or dementia.
- 15. Use according to Claim 14, wherein said disorders and diseases are neurodegenerative disorders or diseases.
- 5 16. Use according to any one of Claims 9 to 15, wherein the compound of Formula I is selected from the group consisting of:
  - i. 1,3 cyclic glycerophosphate 1,3 cGP;
  - ii. 1,2 cyclic glycerophosphate 1,2 cGP;
  - iii. Phenyl 1,3 cGP P-1,3 cGP;
- 10 iv. Phenyl 1,2 cGP P-1,2 cGP;
  - v. 1,3 cyclic propanediol phosphate 1,3 cPP:
  - vi. 1,2 cyclic propanediol phosphate 1,2 cPP;
  - vii. Phenyl 1,3 cPP P-1,3 cPP;
  - viii. Phenyl 1,2, cyclic propanediol phosphate P-1,2, cPP;
- 15 ix. Cyclic dihydroxyacetone phosphate cDHAP; and
  - x. Phenyl cyclic dihydroxyacetone phosphate P-cDHAP.
  - 17. A method for promoting neural activity in an individual comprising administering to the individual in need an effective amount of a compound of the general Formula I

20.

### wherein

Y is  $-(CH_2)_m$ -, -CH(OH)- or -C(=O)-, and m is 0 - 3;

X is H, alkyl, -CH2OH-, CH2Oacyl or -CH2acyl; and

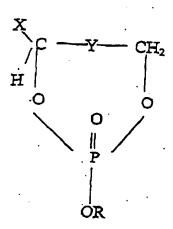
R is H, a cation, alkyl or optionally substituted aryl;

wherein said neural activity is selected from the group consisting of promotion of neuronal outgrowth, promotion of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, prevention of nerve degeneration condition other than dementia and nerve rescue.

- 18. A method for the prevention or treatment of disorders and diseases, other than dementia, which can be prevented or treated by promoting neural cell differentiation and/or neural activity, the method comprising administering to a person in need a therapeutically effective amount of a compound of Formula I of Claim 14.
- 19. A method according to Claim 18, wherein said disorders and diseases are mental disorders or diseases.
- 20. A method according to Claim 19, wherein said mental disorder or disease is schizophrenia.
- 20 21. A method according to Claim 19, wherein said mental disorder is a learning disability.
  - 22. A method according to Claim 18, wherein said disorders and diseases are neurodegenerative disorders or diseases.
- 23. A method according to Claim 22, wherein said neurodegenerative disorder or disease is Alzheimer's disease or Parkinson's disease.
  - 24. A method according to Claim 17, wherein said disorders or diseases result from exposure of an individual to harmful environmental factors or from a mechanical injury.

- 25. A method according to Claim 17, for the treatment of nerve rescue after nerve injury.
- 26. A method according to any one of claims 17 to 25 wherein said compound of general formula I is selected from the group consisting of
- 5 i. 1,3 cyclic glycerophosphate 1,3 cGP;
  - ii. 1,2 cyclic glycerophosphate 1,2 cGP;
  - iii. 3-acyl 1,2 cyclic glycerophosphate (cyclic lysophosphatidic acid) c-lysoPA;
  - iv. Phenyl 1,3 cGP P-1,3 cGP;
- 10 v. Phenyl 1,2 cGP P-1,2 cGP;
  - vi. 1,3 cyclic propanediol phosphate 1,3 cPP;
  - vii. 1,2 cyclic propanediol phosphate 1,2 cPP;
  - viii. Phenyl 1,3 cPP P-1,3 cPP;
  - ix. Phenyl 1,2, cyclic propanediol phosphate P-1,2, cPP;
- 15 x. Cyclic dihydroxyacetone phosphate cDHAP; and
  - xi. Phenyl cyclic dihydroxyacetone phosphate P-cDHAP.
  - 27. Use of a compound of the general Formula I

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wherein

Y is  $-(CH_2)_m$ -, -CH(OH)- or -C(=O)-, and m is 0 - 3; X is H, alkyl,  $-CH_2OH$ -,  $CH_2Oacyl$  or  $-CH_2acyl$ ; and R is H, a cation, alkyl or optionally substituted aryl; for the preparation of a pharmaceutical composition for promoting neural activity selected from the group consisting of promotion of neuronal outgrowth, promoting of nerve growth, provision of dopaminotrophic supporting environment in a diseased portion of the brain, nerve rescue and prevention of nerve degeneration conditions other than dementia.

- 28. Use according to Claim 27, for the prevention or treatment of disorders and diseases, other than dementia, which can be prevented or treated by promoting neural cell differentiation and/or neural activity.
- 10 29. Use according to Claim 28, wherein said disorders and diseases are mental disorders or diseases.
  - 30. Use according to Claim 29, wherein said mental disorder or disease is schizophrenia.
- 31. Use according to Claim 29, wherein said mental disorder is a learning disability.
  - 32. Use according to Claim 28, wherein said disorders and diseases are neurodegenerative disorders or diseases.
  - 33. Use according to Claim 32, wherein said neurodegenerative disorders or diseases are Alzheimer's disease or parkinson's disease.
- 20 34. Use according to Claim 28, wherein said disorders or diseases result from exposure of an individual to harmful environmental factors or from a mechanical injury.
  - 35. Use according to Claim 27, for nerve rescue after nerve injury.
- 36. Use according to any one of claims 27 to 35 wherein said compound of formula I is selected form the group consisting of
  - i. 1,3 cyclic glycerophosphate 1,3 cGP;
  - ii. 1,2 cyclic glycerophosphate 1,2 cGP;
  - iii. 3-acyl 1,2 cyclic glycerophosphate (cyclic lysophosphatidic acid) c-lysoPA;

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IL UUUUUU IB:

- iv. Phenyl 1,3 cGP P-1,3 cGP;
- v. Phenyl 1,2 cGP P-1,2 cGP;
- vi. 1,3 cyclic propanediol phosphate 1,3 cPP;
- vii. 1,2 cyclic propanediol phosphate 1,2 cPP;
- 5 viii. Phenyl 1,3 cPP P-1,3 cPP;
  - ix. Phenyl 1,2, cyclic propanediol phosphate P-1,2, cPP;
  - x. Cyclic dihydroxyacetone phosphate cDHAP; and
  - xi. Phenyl cyclic dihydroxyacetone phosphate P-cDHAP.